

Data Protection Statement (DaPS)

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With this Data Protection Statement (hereafter: DaPS) we, the law office «Advokatur und Notariat Marc Blöchlinger» (hereafter «law office», «we» or «us»), describe how we collect and further process personal data. This DaPS is not necessarily a comprehensive description of our data processing. It is possible that other data protection statements, provisions as stated in the specific power of attorney or similar documents are applicable to specific circumstances. The term "personal data" in this DaPS means any information that identifies, or could reasonably be used to identify any person.

If you provide us with personal data of other persons (such as family members, work colleagues), please make sure the respective persons are aware of this DaPS and only provide us with their data if you are allowed to do so and if such personal data is correct.

This DaPS is in line with the EU General Data Protection Regulation (GDPR). Although the GDPR is a European Union (EU) regulation, it may be relevant for us. The Swiss data protection legislation (FADP) is heavily influenced by EU law. In addition, companies outside the European Union or the European Economic Area (EEA) must comply with the GDPR in certain cases.

1. **Controller**

The "controller" of data processing as described in this DaPS (i.e. the responsible person) is lic. iur. Marc Blöchlinger, attorney-at-law and notary public, unless we have informed you otherwise in certain cases. You can notify us of any data protection-related concerns using the following contact details:

Advokatur und Notariat Marc Blöchlinger
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2. **Collection and processing of personal data**

We primarily process personal data that we obtain within our business relationships (law and notary's office) with our clients and other business partners as well as other individuals or that we collect from users when operating our website and other applications.

Insofar as it is permitted to us, we obtain certain personal data from publicly accessible sources (e.g., debt registers, land registries, commercial registers, press, internet) or we may receive such information from our clients and their employees, from authorities, courts, arbitral tribunals or other third parties (such as e.g., opposing parties or business partners of our clients). Apart from data you provided to us directly, the categories of data we receive about you from third parties include, but are not limited to, information from public registers, data received in connection with administrative or court proceedings, information in connection with your professional role and activities (e.g., in order to conclude and carry out contracts with your employer), information about you in correspondence and discussions with third parties, credit rating information (if we conduct business activities with you personally), information about you given to us by individuals associated with you (family, consultants, legal representatives, etc.) in order to conclude or process contracts with you or with your involvement (e.g., references, your delivery-address, powers of attorney), information regarding legal regulations such as anti-money laundering and export restrictions, bank details, information regarding insurance, our distributors and other business

partners for the purpose of ordering or delivering services to you or by you (e.g., payments made, previous purchases), information about you found in the media or internet (insofar as indicated in the specific case, e.g., in connection with job applications, media reviews, marketing/sales, etc.), your address and any interests and other socio-demographic data (for marketing purposes), data in connection with your use of our websites (e.g., IP address, MAC address of your smartphone or computers, information regarding your device and settings, cookies, date and time of your visits, sites and content retrieved, applications used, referring website, localization data).

3. Purpose of data processing and legal grounds

We primarily use collected data in order to conclude and process contracts with our clients and business partners, in particular in connection with providing legal services to our clients and the procurement of products and services from our suppliers and subcontractors (e.g., foreign and domestic lawyers and law firms or experts), as well as in order to comply with our domestic and foreign legal obligations. You may be affected by our data processing in your capacity as an employee of such a client or business partner.

In addition, in line with applicable law and where appropriate, we may process your personal data and the personal data of third parties for the following purposes, which are in our (or, as the case may be, any third parties) legitimate interest, such as:

- providing and developing our products, services and websites, apps and other platforms, on which we are active;
- communication with third parties and the processing of their requests (e.g., job applications, media inquiries);
- review and optimization of procedures regarding needs assessments for the purpose of direct customer approach as well as obtaining personal data from publicly accessible sources for customer acquisition;
- advertising and marketing (including organizing events), provided that you have not objected to the use of your data for this purpose (if you are part of

- our customer base and you receive our advertisement, you may object at any time and we will place you on a blacklist against further advertising mailings);
- market and opinion research, media surveillance;
 - asserting legal claims and defence in legal disputes and official proceedings;
 - the prevention and investigation of criminal offences and other misconduct (e.g., conducting internal investigations, data analysis to combat fraud);
 - ensuring our business operations, including our IT, our websites and other appliances;
 - video surveillance to protect the domiciliary rights and other measures to ensure the safety of our premises and facilities as well as protection of our employees and other individuals and assets owned by or entrusted to us (such as e.g., access controls, visitor logs, network and mail scanners, telephone recordings);
 - possible corporate transactions and the transfer of personal data related thereto as well as measures for business management and compliance with legal and regulatory obligations as well as our internal regulations.

If you have given us your consent to process your personal data for certain purposes (for example when registering to receive newsletters or carrying out a background check), we will process your personal data within the scope of and based on this consent, unless we have another legal basis, as long as we require one. Consent given can be withdrawn at any time, but this does not affect data processed prior to withdrawal.

4. Cookies / tracking and other techniques regarding the use of our website

We typically use "cookies" and similar techniques on our websites which allow for an identification of your browser or device. A cookie is a small text file that is sent to your computer and automatically saved by the web browser on your computer or mobile device, when you visit our website. If you revisit our website, we may recognize you, even if we do not know your identity. Besides cookies that are only used during a session and deleted after your visit to the website ("session cookies"), we may use cookies in order to save user configurations and other information for a certain time

period (e.g., two years) ("permanent cookies"). Nevertheless, you may configure your browser settings in a way that it rejects cookies, only saves them for one session or deletes them prematurely. Most browsers are preset to accept cookies. We use permanent cookies in order to understand how you use our services and content. If you block cookies, it is possible that certain functions (such as e.g., language settings, shopping basket, ordering processes) are no longer available to you.

We may use Google Analytics or similar services on our website. These are services provided by third parties, which may be located in any country worldwide (in the case of Google Analytics Google LLC is in the U.S., www.google.com) and which allow us to measure and evaluate the use of our website (on an anonymized basis). For this purpose, permanent cookies are used, which are set by the service provider. The service provider does not receive (and does not retain) any personal data from us, but the service provider may track your use of the website, combine this information with data from other websites you have visited and which are also tracked by the respective service provider, and may use this information for its own purposes (e.g., controlling advertisements). If you have registered with the service provider, the service provider will also know your identity. In this case, the processing of your personal data by the service provider will be conducted in accordance with its data protection regulations. The service provider only provides us with data on the use of the respective website (but not with any personal information on you).

Furthermore, this website uses the product Google Maps by Google Inc. Überdies verwendet diese Website das Produkt Google Maps von Google Inc. By using this website, you agree to the collection, processing and use of the automatically collected data by Google Inc, their proxies and third parties. The terms of service of Google Maps can be found at [«Google Maps platform terms of service»](#).

5. Data transfer and transfer of data abroad

In the context of our business activities and in line with the purposes of the data processing set out in s. 3, we may transfer data to third parties, insofar as such a transfer is permitted and we deem it appropriate, in order for them to process data for us or,

as the case may be, their own purposes. In particular, the following categories of recipients may be concerned:

- our service providers (such as e.g., banks, insurance companies), including processors (such as e.g., IT providers);
- distributors, suppliers, subcontractors and other business partners;
- clients as well as their affiliates and opposing parties in Switzerland or abroad;
- domestic and foreign authorities or courts as well as arbitral tribunals;
- the media;
- the public, including users of our websites and social media;
- competitors, industry organizations, associations, organizations and other bodies;
- opposing parties or parties interested in connection with corporate transactions
- other parties in possible or pending legal proceedings.

(hereafter together: «recipients»)

Recipients may be located within or outside of Switzerland. In particular, data may be transferred to countries, in which we operate or we will be operating due to the requirements of the specific mandate as well as countries in which service providers are located.

If we transfer data to a country without adequate legal data protection, we ensure an appropriate level of protection as legally required by way of using appropriate contracts (in particular on the basis of the standard contract clauses of the European Commission) or binding corporate rules or we rely on the statutory exceptions of consent, performance of contracts, the establishment, exercise or enforcement of legal claims, overriding public interests, published personal data or because it is necessary to protect the integrity of the persons concerned. You can obtain a copy of the above-mentioned contractual guarantees at any time from the contact person named under s. 1 above. However, we reserve the right to redact copies for data protection reasons, reasons of secrecy or to produce excerpts only

6. Retention periods for your personal data

We process and retain your personal data as long as required for the performance of our contractual obligations and compliance with legal obligations or other purposes pursued with the processing, i.e. for the duration of the entire business relationship (from the initiation, during the performance of the contract until it is terminated) as well as beyond this duration in accordance with legal retention and documentation obligations. Personal data may be retained for the period during which claims can be asserted against our company (i.d. particularly during legal prescription periods) or insofar as we are otherwise legally obliged to do so or if legitimate business interests require further retention (e.g., for evidence and documentation purposes). As soon as your personal data is no longer required for the above-mentioned purposes, it will be deleted or anonymized, as far as possible. In general, shorter retention periods, of no more than twelve months, apply for operational data (e.g., system logs).

7. Data security

We have taken appropriate technical and organizational security measures to protect your personal data from unauthorized access and misuse such as IT and network security solutions, access controls and restrictions, encryption of data carriers and transmissions, inspections

8. Obligation to provide personal data to us

In the context of our business relationship, you must provide us with any personal data that is necessary for the conclusion and performance of a business relationship and the performance of our contractual obligations. As a rule, there is no statutory requirement to provide us with data. Without this information, we will usually not be able to enter into or carry out a contract with you (or the entity or person you represent). In addition, the website cannot be used unless certain information is disclosed to enable data traffic (e.g., IP address).

9. Rights of the data subject

In accordance with and as far as provided by applicable law (as is the case where the GDPR is applicable), you have the right to access, rectify and erase of your personal data, the right to restriction of processing or to object to our data processing in addition to right to receive certain personal data for transfer to another controller (data portability). Please note, however, that we reserve the right to enforce statutory restrictions on our part, for example if we are obliged to retain or process certain data, have an overriding interest (insofar as we may invoke such interests) or need the data for asserting claims. If exercising certain rights incurs costs for you, we will notify you thereof in advance. We have already informed you of the possibility to withdraw consent in s. 3 above. Please further note that the exercise of these rights may be in conflict with your contractual obligations and this may result in consequences such as premature contract termination or involve costs. If this is the case, we will inform you in advance unless it has already been agreed upon contractually.

In general, exercising these rights requires that you are able to prove your identity (e.g., by a copy of identification documents when your identity is not evident otherwise or cannot be verified in another way). In order to assert these rights, please contact us at the addresses provided in s. 1 above.

In addition, every data subject has the right to enforce its rights in court or to lodge a complaint with the competent data protection authority. The competent data protection authority of Switzerland is the Federal Data Protection and Information Commissioner.

10. Amendments

We may amend this DaPS at any time without prior notice. The current version published on our website applies. If the DaPS is part of an agreement with you, we will notify you by e-mail or other appropriate means if there is an amendment.